


APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)



SCCE's Compliance & Ethics Institute Hybrid Conference September 19 – 22, 2021

Complete and submit this application to receive a certificate of attendance or continuing education units (CEUs). Check the box(es) below corresponding to the credit type(s) you wish to receive.

Submit this application to the Compliance Certification Board (CCB)[®]:
email: ccb@compliancecertification.org | phone: 952.988.0141 | fax: 952.988.0146

 <p>CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F <i>(Upon receipt of this completed application, this CEU type is automatically assessed)</i></p> <p><input type="checkbox"/> Other Credit type not already listed. _____</p> <p><input type="checkbox"/> NASBA/CPE Individuals MUST participate in the active attendance monitoring.</p>	<p><input type="checkbox"/> FOR ATTORNEYS ONLY: Continuing Legal Education (CLE) Certain sessions may qualify for CLE credits. Submit this application <u>within seven days</u> to allow for state reporting, if required.</p> <p>Many states require active attendance monitoring. Please verify your CLE requirements with your state.</p> <p>State & license # _____</p> <p>State & license # _____</p>
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Active attendance monitoring will be conducted during this hybrid conference.
CCB CEUs and certificate will be posted and available online in your account within two-four weeks.
CLE, NASBA, and Other: External credit certificates will be emailed within four weeks.

Check the applicable box below confirming your registration type:

In-person **(IP)** Conference Registration

Virtual **(V)** Conference Registration

By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:

Print your name: _____

Email: _____ Phone: _____

Signature: _____ Date: _____

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- ★ **ATTENDEES** must indicate “*Attendee*” for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate “*Speaker*” for sessions presented and “*Attendee*” for sessions attended.
- ★ **NOTE** any session time missed if you joined late or left early.
- ★ **KEY:** **(IP)** = In-person session
(V) = Virtual session

Sunday, September 19 – In-person (IP) Sessions only

8:00 – 9:30 am PDT (1.5 clock hours or 90 minutes)

<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P1 Polish Your Brand! Make Your Values Apply to Current Issues
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P2 Measuring Program Effectiveness with Data and Metrics
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P3 Conducting Remote Interviews in a Workplace Investigation
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P4 Building Boardroom Gravitas

10:00 – 11:30 am PDT (1.5 clock hours or 90 minutes)

<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P5 Managing the Most Difficult and Most Important Anti-Corruption Due...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P6 Effective E&C Risk Management Practice: Program Essentials and Core...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P7 How a Grassroots Movement Founded the Compliance Career Connection
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P8 Social Media: Old Platforms, New Risks

1:00 – 2:30 pm PDT (1.5 clock hours or 90 minutes)

<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P9 What Does Third-Party Compliance Monitoring Look Like in Real Life?
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P10 Board Oversight of Compliance Programs: A Key Driver for Program...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P11 Sibling Rivalry: How to Manage the Relationship Between Ethics...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P12 How to Build an Effective Data Privacy Program

3:00 – 4:30 pm PDT (1.5 clock hours or 90 minutes)

<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P13 Applying the Latest DOJ Guidance to Your Program Analytics: A Practical...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P14 #MeToo and #Blackat: Investigating Anonymous Workplace Harassment...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P15 Infusing Diversity & Inclusion into Your Compliance Program
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> <i>Speaker</i>	P16 Synergies, Intersections and Opportunities between ESG and Compliance

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Monday, September 20 – Virtual (V) and In-person (IP) sessions

8:30 – 9:30 am PDT/11:30 am – 12:30 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	GENERAL SESSION 1: Canceling the Compliance Culture
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	

10:30 – 11:30 am PDT/1:30 – 2:30 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	101: A Risk-Based Approach to Managing Employee Hotlines: The Home Depot and International C&E Programs
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	102: "Does Compliance Validate Parking?" Advice for CCOs on Managing Compliance Program Scope Creep
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	103: Recent Developments in Compliance Law: Using What's New to Advance Your Program
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	104: Detecting Deception Using the Cognitive Interview in Investigations
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	105: Beyond an Episode Of CSI: Money Laundering Threat
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	106: Current Developments in Compliance Programs' Ethics Considerations...

1:00 – 2:00 pm PDT/4:00 – 5:00 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	201: How to Make Your Anti-Corruption Compliance Program Best in Class
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	202: Connecting the Dots: How to Bring Abstract Risks to Employee Reality
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	203: Maybe TV Isn't Rotting Your Brain: Compliance Lessons from Pop Culture
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	204: The Convergence of Compliance and Data Governance
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	205: Compliance Vital Signs: The Impact of the Updated DOJ Guidance...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	206: Tone at the Top: Getting the Culture Right

2:30 – 3:30 pm PDT/5:30 – 6:30 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	301: Chief Ethics and Compliance Officers as Members of Boards of Directors: Why the Time has Come; What Boards Gain; How CECOs Grow and...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	302: How to Defend Your Compliance Program in a Government Investigation or Enforcement Proceeding
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	303: Communicate Across Cultures and Reach Your Global Workforce
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	304: Personal Liability for Directors and CCEOs: What the Courts are Trying...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	305: Managing Third-Party Risks at Every Stage of the Vendor Relationship...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	306: Cybersecurity Certifications (ISO 27001, SOC2, etc.): Why They Matter...

APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

...continued, Monday, September 20

4:00 – 5:00 pm PDT/7:00 – 8:00 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	401: "Oh, the People You'll Meet...": Sharing Insights and Tactics to Delivering on the Compliance Value Proposition
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	402: Why Is it So Hard to Create AI Solutions for Anti-Corruption Compliance?
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	403: Government Contractor Update: 2022
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	404: Supply Chain Risk in the Defense Sector
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	405: Collaboration and How 1 + 1 > 2
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	406: Effective Partnership Strategies with Your Board of Directors: 2021 Update

Tuesday, September 21 – Virtual (V) and In-person (IP) sessions

7:00 – 8:00 am PDT/10:00 – 11:00 am EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	EB1: The Beauty and Brains of Actionable Data: From Concept to Reality in Data Storytelling
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	EB2: Willful Blindness: Why We Fail to See What We Need to See
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	

8:30 – 9:30 am PDT/11:30 am – 12:30 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	GENERAL SESSION 2: Compose Your World - Innovation and Embracing Change
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	

10:30 – 11:30 am PDT/1:30 – 2:30 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	501: ESG and Corporate Social Responsibility: What Compliance Practitioners Need to Know
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	502: We Supercharged Our Compliance Training and Communications...YOU CAN TOO
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	503: Seeing Around Corners: Global Due Diligence Stories from Mergers and Acquisitions
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	504 CANCELED
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	505: Building Your Ethics and Compliance Analytics Program
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	506: What Compliance Officers Should Know About Bankruptcy Law

APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

...continued, Tuesday, September 21

1:00 – 2:00 pm PDT/4:00 – 5:00 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	601: Writing Investigative Reports: A 6-Step Process
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	602: Is Racism "Misconduct?"
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	603: Re-thinking Employee 'Engagement:' What's On Your Compliance Program's Dating Profile?
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	604: Challenges and Opportunities When Dealing With Mexican Companies
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	605: Compliance Diligence in M&A: Best Practices from LOI to Integration
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	606: Understanding Biometric Data and the Compliance Requirements...

2:30 – 3:30 pm PDT/5:30 – 6:30 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	GENERAL SESSION 3: Artificial Intelligence - Implications for Compliance and Ethics Programs
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	

4:00 – 5:00 pm PDT/7:00 – 8:00 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	701: Ethics & Compliance in a Lunchbox: You Don't Need a Full Course Fine Dining Experience to Have an Effective E&C Program
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	702: Lessons from Sixty Code of Conduct Projects: What Are Five Things You Need for a Best-In-Class Code?
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	703: Data Breaches: Prevention and Management
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	704 CANCELED
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	705: Sexual Harassment and Abuse: Addressing the Institutional Risk in a...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	706: Building and Maturing a Compliance Program in a Government Agency

Wednesday, September 22 – Virtual (V) and In-person (IP) sessions

7:00 – 8:00 am PDT/10:00 – 11:00 am EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V1: Is Your Policy Management Effective? Tips for Enhancing Your Policies...
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V2: The Compliance Olympics: What Were the Gold Medal Performances...
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V3: Consistent Global Disciplinary Guidelines in a Decentralized Organization

8:00 – 9:30 am PDT (1.5 clock hours or 90 minutes)

<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	W1: Championing Your Compliance Program
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	W2: What Compliance Lawyers Should Know about M&A Compliance Due...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	W3: The DOJ's Antitrust Compliance Program Guidance Two Years Along...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	W4: Employer's Guide to Navigating Compliance in Today's Workplace...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	W5: What the SEC Expects from Your Internal Investigation: former SEC...

APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)

...continued, Wednesday, September 22

8:15 – 9:15 am PDT/11:15 am – 12:15 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V4: Thirty Years of Organizational Sentencing Guidelines: Looking Back...
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V5: How to Conduct Independent Investigations on Foreign Operations
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V6: The Role of Leadership in an Effective Compliance Program: Why, How...

9:30 – 10:30 am PDT/12:30 – 1:30 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V7: Do it Yourself: The Entrepreneurial Mindsets of Ethics & Compliance...
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V8: Advanced Investigations in Multi-National Companies
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V9: Employment Contracts for your Compliance Officer

10:00 – 11:30 am PDT (1.5 clock hours or 90 minutes)

<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	W6: Rethinking Compliance Program Effectiveness Reviews
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	W7: Who's Afraid of Internal Controls? Not Me! Using Internal Controls
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	W8: Privacy by Design: A New Way of Thinking About Privacy Compliance
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	W9: Training Made EASY: Using Adult Learning Best Practices to Rocket...
<input type="checkbox"/> (IP) Attendee	<input type="checkbox"/> Speaker	W10: Compliance Assessments in 2021: How to Overcome Obstacles...

11:30 am – 12:30 pm PDT/2:30 – 3:30 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V10: 10 Compliance Lessons from the Wirecard Scandal
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V11: How to Benchmark and Boost the Value of Your Ethics & Compliance
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V12: Culture Wars: Ethics and Compliance from Both Sides of the Atlantic

12:45 – 1:45 pm PDT/3:45 – 4:45 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V13: Business vs. Private Ethics: Does Such a Distinction Exist/Even Make...
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V14: How to Break Down Silos Through Integrated Risk Management (IRM)
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V15: The Corruption Virus: Boost Your Immunity When Operating in...

2:00 – 3:00 pm PDT/5:00 – 6:00 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V16: Proposed New EU Due Diligence Law on Human Rights...
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V17: Requirements and Risks Regarding Data Security for Government...
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V18: Best Practices for M&A Due Diligence for Anti-Corruption: From Initial...

3:15 – 4:15 pm PDT/6:15 – 7:15 pm EDT (1.0 clock hour or 60 minutes)

<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V19: Practical Tips for Building Data Governance Programs
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V20: Liaison/Ethics Champion Program
<input type="checkbox"/> (V) Attendee	<input type="checkbox"/> Speaker	V21: Cannabis & Your Compliance Program: What Every Compliance...

Print your name _____