


APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)



SCCE's Conducting Compliance Investigations Compliance & Ethics Conference Phoenix, AZ January 31 – February 1, 2022

Complete and submit this application to receive a certificate of attendance or continuing education units (CEUs). Check the box(es) below corresponding to the credit type(s) you wish to receive.

Submit this application to the Compliance Certification Board (CCB)[®]:
email: ccb@compliancecertification.org | phone: 952.988.0141 | fax: 952.988.0146

 <p>CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F <i>(Upon receipt of this completed application, this CEU type is automatically assessed)</i></p> <p><input type="checkbox"/> Other Credit type not already listed. _____</p> <p><input type="checkbox"/> NASBA/CPE Individuals MUST participate in the active attendance monitoring.</p>	<p><input type="checkbox"/> FOR ATTORNEYS ONLY: Continuing Legal Education (CLE) Certain sessions may qualify for CLE credits. Submit this application <u>within seven days</u> to allow for state reporting, if required.</p> <p>Many states require active attendance monitoring. Please verify your CLE requirements with your state.</p> <p>State & license # _____</p> <p>State & license # _____</p> <p>State & license # _____</p>
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***Sign-in/sign-out sheets are available inside the meeting room.**

CCB CEUs and certificate will be posted and available online in your account within two-four weeks.

CLE, NASBA, and Other: External credit certificates will be emailed within four weeks.

By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:

Print your name: _____

Email: _____ Phone: _____

Signature: _____ Date: _____

CONTINUED NEXT PAGE →

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- ★ **ATTENDEES** must indicate "Attendee" for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate "Speaker" for sessions presented and "Attendee" for sessions attended.
- ★ **NOTE** any session time missed if you dialed in late or left early.

Monday, January 31

- Attendee Speaker **8:45 – 9:30 a.m. (0.75 clock hour or 45 minutes)**
Introductory Concepts for Investigations
- Attendee Speaker **9:30 – 10:30 a.m. (1.0 clock hour or 60 minutes)**
Understanding and Assessing the Report
- Attendee Speaker **10:45 – 11:45 a.m. (1.0 clock hour or 60 minutes)**
Planning the Investigation
- Attendee Speaker **11:45 – 12:30 p.m. (0.75 clock hour or 45 minutes)**
Gathering Documentary Evidence
- Attendee Speaker **1:30 – 3:00 p.m. (1.5 clock hours or 90 minutes)**
Conducting Interviews
- Attendee Speaker **3:15 – 4:00 p.m. (0.75 clock hour or 45 minutes)**
Interviewing the Subject of the Investigation
- Attendee Speaker **4:00 – 5:00 p.m. (1.0 clock hour or 60 minutes)**
Exercise: A Day in the Life of an Internal Investigator

Tuesday, February 1

- Attendee Speaker **8:30 – 9:00 a.m. (0.5 clock hour or 30 minutes)**
Investigations Roundtable
- Attendee Speaker **9:00 – 10:00 a.m. (1.0 clock hour or 60 minutes)**
Evidence and the Legal Elements of an Investigation
- Attendee Speaker **10:15 – 11:15 a.m. (1.0 clock hour or 60 minutes)**
Investigation Pitfalls and How to Avoid Them
- Attendee Speaker **11:15 – 12:15 p.m. (1.0 clock hour or 60 minutes)**
Reaching a Conclusion
- Attendee Speaker **1:15 – 2:15 p.m. (1.0 clock hour or 60 minutes)**
Preparing the Investigation Report
- Attendee Speaker **2:15 – 3:00 p.m. (0.9 clock hour or XX minutes)**
Reporting Your Findings
- Attendee Speaker **3:15 – 4:00 p.m. (0.75 clock hour or 45 minutes)**
What Should You Do?

Print your name: _____